

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.: 2001\_1227

*First Named Inventor* : Yotaro HATAMURA et al.

**Title: SUBSTRATE WITH MICRO-PROTRUSIONS AND METHOD OF  
MAKING THEREOF**

*Express Mail Label No.:*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)	
2. <input type="checkbox"/> Small Entity Status is hereby asserted.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below)	<i>[Total Pages: 34]</i>	a. <input type="checkbox"/> Computer Readable Form
- Descriptive title of the Invention		b. Specification Sequence Listing on:
- Cross References to Related Applications		i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D		ii. <input type="checkbox"/> Paper
- Reference to Sequence Listing, a table, or a computer program listing appendix.		c. <input type="checkbox"/> Statement verifying identity of above copies
- Background of the Invention		
- Brief Summary of the Invention		
- Brief Description of the Drawings (if filed)		
- Detailed Description		
- Claim(s)		
- Abstract of the Disclosure		
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	<i>[Total Sheets: 21]</i>	
5. <input checked="" type="checkbox"/> Oath or Declaration	<i>[Total Pages : 3]</i>	
a.1. <input type="checkbox"/> Newly executed (original or copy)		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a.2. <input type="checkbox"/> Unexecuted		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)		<input type="checkbox"/> Power of Attorney
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		11. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)		12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449
		<input type="checkbox"/> Copies of IDS Citations
		13. <input checked="" type="checkbox"/> Preliminary Amendment
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specific itemized)
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2) Applicant must attach form PTO/SB/35 or its equivalent
		17. <input checked="" type="checkbox"/> Other: Change of Address

#### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement (*when there is an assignee*)  
 Power of Attorney
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  
 Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Change of Address

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

Continuation       Divisional

of prior application No. 08/964,206

*Prior Application Information:* Examiner A. OLSEN

Group Art Unit 1746

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS



00513

PATENT TRADEMARK OFFICE

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August 30, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yotaro HATAMURA et al.

Serial No. NEW

: Attn: Application Branch

Filed August 30, 2001

: Attorney Docket No. 2001\_1227

SUBSTRATE WITH MICRO-PROTRUSIONS  
AND METHOD OF MAKING THEREOF

(Rule 1.53(b) Continuation  
of Serial No. 08/964,206,  
Filed November 4, 1997)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$988.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$988.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Yotaro HATAMURA et al.

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[Check No. 46288]  
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